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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Plettle type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE JUL 1 2 2004 Utter the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/807,362 TRANSMITTAL **Filing Date** March 22, 2004 **FORM First Named Inventor** Thierry Glauser (to be used for all correspondence after initial filing) 1615 **Group Art Unit** Unknown **Examiner Name** Total Number of Pages in This Submission 13 **Attorney Docket Number** 50623.351 (excluding references) ENCLOSURES (check all that apply) Deposit Account 07-1850 Assignment Papers After Allowance Communication to Authorization Group (for an Application) Appeal Communication to Board of Drawing(s) In/Formal_ Sheets with Postage Paid Return Postcard Appeals and Interferences Submission of Drawings Transmittal _ Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Amendment Transmittal Letter (in Proprietary Information duplicate) Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Petition for Extension of Time (Other Enclosure(s) Change of Correspondence Address (please identify below): months) (in duplicate) 48 Foreign Patent Documents; Information Disclosure Statement Terminal Disclaimer (in duplicate) with Form PTO-1449 33 Other Documents Request for Refund citing 216 References Express Mail Label No. CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Squire, Sanders & Dempsey L.L.P. Zhaoyang Li, Ph.D., Reg. No. 46,872 Individual name Signature . 2004 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: Typed or printed name Dilora-Haddad Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



re Application of:

Examiner:

Unassigned

Thierry Glauser et al.

Serial No.

10/807,362

Art Unit:

1615

Filed:

March 22, 2004

Title:

Phosphoryl Choline Coating Compositions

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. According to a Notice signed July 11, 2003, the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) for all patent applications filed after June 30, 2003. *See*, 1276 Off. Gaz. Pat. Office 55. Since this patent application was filed after June 30, 2003, Applicants have not provided copies of the cited U.S. patents or the U.S. Patent Application Publications. Copies of the cited foreign patent documents and other non-patent documents have been submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

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The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: July 8, 2004

SQUIRE, SANDERS & DEMPSEY L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111 Telephone (415) 954-0200 Facsimile (415) 393-9887

Respectfully submitted,

Zhaoyang Li, Ph.D. Attorney for Applicant

Reg. No. 46,872

FORM PTO-1449 (Modified)

US DEPARTMENT OF COMMERCE | Docket No.

Approved for use through 10/31/2002 US Patent and Tradema
INFORMATION DISCLOSURE CITATION

US Patent and Trademark Office

Application No. 50623.351 10/807,362

in an Application

Applicant Glauser et al.

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A73 6,042,875 3/28/00 Ding et al. 427 2.24 3/2/99 A74 6,051,648 4/18/00 Rhee et al. 525 54.1 1/13/99 A75 6,051,576 4/18/00 Ashton et al. 514 255 1/29/97 A76 6,056,993 5/2/00 Leidner et al. 427 2.25 4/17/98 A77 6,060,451 5/9/00 DiMaio et al. 514 13 3/20/95 A78 6,060,518 5/9/00 Kabanov et al. 514 781 8/16/96 A79 6,080,488 6/27/00 Hostettler et al. 428 423.3 3/24/98 A80 6,096,070 8/1/00 Ragheb et al. 623 1 5/16/96 A81 6,099,562 8/8/00 Ding et al. 623 1.46 12/22/97 A82 6,110,188 8/29/00 Narciso, Jr. 606 153 3/9/98 A83 6,110,483 8/29/00 Whitbourne et al. 424 423 6/23/97 A84 6,113,629 9/5/00 Ken 623 1.1 5/1/98 A85 6,120,536 9/19/00 Ding et al. 623 1.43 6/13/96 A86 6,120,904 9/19/00 Hostettler et al. 428 423.3 5/24/99 A87 6,121,027 9/19/00 Clapper et al. 428 423.3 5/24/99 A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A71	6,015,541	1/18/00	Greff et al.	424	1.25	11/3/97
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A75 6,051,576 4/18/00 Ashton et al. 514 255 1/29/97 A76 6,056,993 5/2/00 Leidner et al. 427 2.25 4/17/98 A77 6,060,451 5/9/00 DiMaio et al. 514 13 3/20/95 A78 6,060,518 5/9/00 Kabanov et al. 514 781 8/16/96 A79 6,080,488 6/27/00 Hostettler et al. 428 423.3 3/24/98 A80 6,096,070 8/1/00 Ragheb et al. 623 1 5/16/96 A81 6,099,562 8/8/00 Ding et al. 623 1.46 12/22/97 A82 6,110,188 8/29/00 Narciso, Jr. 606 153 3/9/98 A83 6,110,483 8/29/00 Whitbourne et al. 424 423 6/23/97 A84 6,113,629 9/5/00 Ken 623 1.1 5/1/98 A85 6,120,536 9/19/00 Ding et al. 623 1.43 6/13/96 A86 6,120,904 9/19/00 Hostettler et al.	A73	6,042,875	3/28/00	Ding et al.	427	2.24	3/2/99
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A79 6,080,488 6/27/00 Hostettler et al. 428 423.3 3/24/98 A80 6,096,070 8/1/00 Ragheb et al. 623 1 5/16/96 A81 6,099,562 8/8/00 Ding et al. 623 1.46 12/22/97 A82 6,110,188 8/29/00 Narciso, Jr. 606 153 3/9/98 A83 6,110,483 8/29/00 Whitbourne et al. 424 423 6/23/97 A84 6,113,629 9/5/00 Ken 623 1.1 5/1/98 A85 6,120,536 9/19/00 Ding et al. 623 1.43 6/13/96 A86 6,120,904 9/19/00 Hostettler et al. 428 423.3 5/24/99 A87 6,121,027 9/19/00 Clapper et al. 435 180 8/15/97 A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A77	6,060,451	5/9/00	DiMaio et al.	514	13	3/20/95
A80 6,096,070 8/1/00 Ragheb et al. 623 1 5/16/96 A81 6,099,562 8/8/00 Ding et al. 623 1.46 12/22/97 A82 6,110,188 8/29/00 Narciso, Jr. 606 153 3/9/98 A83 6,110,483 8/29/00 Whitbourne et al. 424 423 6/23/97 A84 6,113,629 9/5/00 Ken 623 1.1 5/1/98 A85 6,120,536 9/19/00 Ding et al. 623 1.43 6/13/96 A86 6,120,904 9/19/00 Hostettler et al. 428 423.3 5/24/99 A87 6,121,027 9/19/00 Clapper et al. 435 180 8/15/97 A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A78	6,060,518	5/9/00	Kabanov et al.	514	781	8/16/96
A81 6,099,562 8/8/00 Ding et al. 623 1.46 12/22/97 A82 6,110,188 8/29/00 Narciso, Jr. 606 153 3/9/98 A83 6,110,483 8/29/00 Whitbourne et al. 424 423 6/23/97 A84 6,113,629 9/5/00 Ken 623 1.1 5/1/98 A85 6,120,536 9/19/00 Ding et al. 623 1.43 6/13/96 A86 6,120,904 9/19/00 Hostettler et al. 428 423.3 5/24/99 A87 6,121,027 9/19/00 Clapper et al. 435 180 8/15/97 A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A79	6,080,488	6/27/00	Hostettler et al.	428	423.3	3/24/98
A82 6,110,188 8/29/00 Narciso, Jr. 606 153 3/9/98 A83 6,110,483 8/29/00 Whitbourne et al. 424 423 6/23/97 A84 6,113,629 9/5/00 Ken 623 1.1 5/1/98 A85 6,120,536 9/19/00 Ding et al. 623 1.43 6/13/96 A86 6,120,904 9/19/00 Hostettler et al. 428 423.3 5/24/99 A87 6,121,027 9/19/00 Clapper et al. 435 180 8/15/97 A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A80	6,096,070	8/1/00	Ragheb et al.	623	1	5/16/96
A83 6,110,483 8/29/00 Whitbourne et al. 424 423 6/23/97 A84 6,113,629 9/5/00 Ken 623 1.1 5/1/98 A85 6,120,536 9/19/00 Ding et al. 623 1.43 6/13/96 A86 6,120,904 9/19/00 Hostettler et al. 428 423.3 5/24/99 A87 6,121,027 9/19/00 Clapper et al. 435 180 8/15/97 A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A81	6,099,562	8/8/00	Ding et al.	623	1.46	12/22/97
A84 6,113,629 9/5/00 Ken 623 1.1 5/1/98 A85 6,120,536 9/19/00 Ding et al. 623 1.43 6/13/96 A86 6,120,904 9/19/00 Hostettler et al. 428 423.3 5/24/99 A87 6,121,027 9/19/00 Clapper et al. 435 180 8/15/97 A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A82	6,110,188	8/29/00	Narciso, Jr.	606	153	3/9/98
A85 6,120,536 9/19/00 Ding et al. 623 1.43 6/13/96 A86 6,120,904 9/19/00 Hostettler et al. 428 423.3 5/24/99 A87 6,121,027 9/19/00 Clapper et al. 435 180 8/15/97 A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A83	6,110,483	8/29/00	Whitbourne et al.	424	423	6/23/97
A86 6,120,904 9/19/00 Hostettler et al. 428 423.3 5/24/99 A87 6,121,027 9/19/00 Clapper et al. 435 180 8/15/97 A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A84	6,113,629	9/5/00	Ken	623	1.1	5/1/98
A87 6,121,027 9/19/00 Clapper et al. 435 180 8/15/97 A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A85	6,120,536	9/19/00	Ding et al.	623	1.43	6/13/96
A88 6,129,761 10/10/00 Hubbell 623 11 6/7/95 A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A86	6,120,904	9/19/00	Hostettler et al.	428	423.3	5/24/99
A89 6,153,252 11/28/00 Hossainy et al. 427 2.3 4/19/99	A87	6,121,027	9/19/00	Clapper et al.	435	180	8/15/97
	A88	6,129,761	10/10/00	Hubbell	623	11	6/7/95
A90 6,165,212 12/26/00 Dereume et al. 623 1.13 6/28/99	A89	6,153,252	11/28/00	Hossainy et al.	427	2.3	4/19/99
	A90	6,165,212	12/26/00	Dereume et al.	623	1.13	6/28/99

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A91	6,203,551	3/20/01	Wu	606	108	10/4/99
A92	6,231,600	5/15/01	Zhong	623	1.42	5/26/99
 A93	6,240,616	6/5/01	Yan	29	527.2	4/15/97
A94	6,245,753	6/12/01	Byun et al.	514	56	4/27/99
 A95	6,251,136	6/26/01	Guruwaiya et al.	623	1.46	12/8/99
A96	6,254,632	7/3/01	Wu et al.	623	1.15	9/28/00
A97	6,258,121	7/10/01	Yang et al.	623	1.46	7/2/99
A98	6,283,947	9/4/01	Mirzaee	604	264	7/13/99
A99	6,283,949	9/4/01	Roorda	604	288.02	12/27/99
A100	6,284,305	9/4/01	Ding et al.	427	2.28	5/18/00
A101	6,287,628	9/11/01	Hossainy et al.	427	2.3	9/3/99
 A102	6,299,604	10/9/01	Ragheb et al.	604	265	8/20/99
A103	6,306,176	10/23/01	Whitbourne	623	23.59	9/21/99
A104	6,331,313	12/18/01	Wong et al.	424	427	10/22/99
A105	6,335,029	1/1/02	Kamath et al.	424	423	12/3/98
 A106	6,346,110	2/12/02	Wu	606	108	1/3/01
A107	6,358,556	3/19/02	Ding et al.	427	2.24	1/23/98
A108	6,379,381	4/30/02	Hossainy et al.	623	1.42	9/3/99
A109	6,395,326	5/28/02	Castro et al.	427	2.24	5/31/00
A110	6,419,692	7/16/02	Yang et al.	623	1.15	2/3/99
A111	6,451,373	9/17/02	Hossainy et al.	427	2.25	8/4/00
A112	6,494,862	12/17/02	Ray et al.	604	96.01	12/30/99
A113	6,503,556	1/7/03	Harish et al.	427	2.24	12/28/00
A114	6,503,954	1/7/03	Bhat et al.	514	772.2	7/21/00
A115	6,506,437	1/14/03	Harish et al.	427	2.25	10/17/00
A116	6,527,801	3/4/03	Dutta	623	1.46	4/13/00
A117	6,527,863	3/4/03	Pacetti et al.	118	500	6/29/01
A118	6,540,776	4/1/03	Sanders Millare et al.	623	1.15	12/28/00
 A119	6,544,223	4/8/03	Kokish	604	103.01	1/5/01

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. ,	A120	6,544,543	4/8/03	Mandrusov et al.	424	422	12/2	7/00
	A121	6,544,582	4/8/03	Yoe	427	2.24	1/5	/01
	A122	6,555,157	4/29/03	Hossainy	427	2.24	7/2	5/00
	A123	6,558,733	5/6/03	Hossainy et al.	427	2.24	10/2	6/00
	A124	6,565,659	5/20/03	Pacetti et al.	118	500	6/2	8/01
	A125	6,572,644	6/3/03	Moein	623	1.11	6/2	7/01
	A126	6,585,765	7/1/03	Hossainy et al.	623	1.45	6/2	9/00
	A127	6,585,926	7/1/03	Mirzaee	264	400	8/3	1/00
	A128	6,605,154	8/12/03	Villareal	118	500	5/3	1/01
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Examiner	Ref. No.	Document	Date of	Name	Class	Subclass	Filing	Date if
Initial		Number	Publication				Appro	priate
	A129	2001/0018469	8/30/01	Chen et al.	523	121	12/2	8/00
	A130	2001/0037145	11/1/01	Guruwaiya et al.	623	1.15	6/2	1/01
	A131	2002/0077693	6/20/02	Barclay et al.	623	1.13	12/1	9/00
	A132	2002/0091433	7/11/02	Ding et al.	623	1.2	12/1	7/01
	A133	2002/0155212	10/24/02	Hossainy	427	2.25	4/2	4/01
	A134	2003/0065377	4/3/03	Davila et al.	623	1.13	4/30	0/02
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Initial	B1	EP 0 301 856	2/1/89	European	 		res	NO
	B2	EP 0 514 406	11/25/92	European				
	В3	EP 0 604 022	6/29/94	European				
	B4	EP 0 623 354	11/9/94	European				
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	B6	EP 0 701 802	3/20/96	European				
	В7	EP 0 716 836	6/19/96	European			-	
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	B11	EP 0 879 595	11/25/98	European				
	B12	EP 0 910 584	4/28/99	European				
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B13	EP 0 923 953	6/23/99	European	·		
B14	EP 0 953 320	11/3/99	European			
B15	EP 0 970 711	1/12/00	European			
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B17	EP 1 273 314	1/8/03	European			
B18	2001-190687	7/17/01	Japan (Abstract)		х	
B19	WO 91/12846	9/5/91	PCT			-
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B26	WO 98/36784	8/27/98	PCT			-
B27	WO 99/01118	1/14/99	PCT			
B28	WO 99/38546	8/5/99	PCT			
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B30	WO 00/02599	1/20/00	PCT			
B31	WO 00/12147	3/9/00	PCT			
B32	WO 00/18446	4/6/00	PCT			
B33	WO 00/64506	11/2/00	PCT			
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B38	WO 01/49338	7/12/01	PCT			
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B40	WO 02/03890	1/17/02	PCT			
B41	WO 02/026162	4/4/02	PCT			
B42	WO 02/34311	5/2/02	PCT			
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	B44	WO 03/000308	1/3/03	PCT			1			
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	B46	WO 03/028780	4/10/03	PCT			 	_		
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